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Case: 1-13-CV-241604

p. Jauregui

**SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SANTA CLARA**

113CV241604

WILLIE MAE PENNINGTON, by and through )  
her Guardian ad Litem, TERESA )  
PENNINGTON, )

Plaintiffs,

vs.

KAISER PERMANENTE- SANTA CLARA, )  
KAISER FOUNDATION HEALTH PLAN, )  
INC., VALLEY HOUSE REHABILITATION )  
CENTER, and DOES 1 through 100, Inclusive. )

Defendants.

CASE NO.:

**COMPLAINT FOR DAMAGES  
AGAINST:**

**KAISER PERMANENTE- SANTA  
CLARA, KAISER FOUNDATION  
HEALTH PLAN, INC. and DOES 1  
THROUGH 50 FOR:**

(1) NEGLIGENCE;

**VALLEY HOUSE REHABILITATION  
CENTER AND DOES 51 THROUGH 98  
FOR:**

(2) NEGLIGENCE; and

(3) ELDER ABUSE/DEPENDENT  
ADULT CIVIL PROTECTION ACT  
["EADACPA"]

**COME NOW** the Plaintiff, WILLIE MAE PENNINGTON, by and through her  
Guardian ad Litem, TERESA PENNINGTON, who alleges as follows:

**PARTIES**

1. At all times material, Plaintiff TERESA PENNINGTON was the guardian of  
WILLIE MAE PENNINGTON (hereinafter "Ms. Pennington"), who is a resident of Milpitas,  
California. TERESA PENNINGTON, at all times material, was the daughter of WILLIE MAE  
PENNINGTON.

1           2.       At all times material, Defendants KAISER PERMANENTE- SANTA CLARA  
2 and KAISER FOUNDATION HEALTH PLAN, INC. ("KAISER") were a California  
3 corporation engaged in the business of providing care and treatment at 700 Lawrence  
4 Expressway, Santa Clara, CA 95051. Ms. Pennington was a patient at KAISER from April 10,  
5 2012 through April 21, 2012 and from May 7, 2012 through May 22, 2012.

6           3.       At all times material, Defendant VALLEY HOUSE REHABILITATION  
7 CENTER ("V.H.R.C.") was a California corporation engaged in the business of providing care  
8 and treatment at 991 Clyde Avenue, Santa Clara, CA 95054. Ms. Pennington was a patient at  
9 V.H.R.C. from April 21, 2012 through May 7, 2012.

10          4.       The true names and capacities, whether individual, corporate, associate, or  
11 otherwise, of the Defendants designated herein as DOES 1 through 100, inclusive, are presently  
12 unknown to Plaintiff, who, therefore, sues said Defendants by such fictitious names. Plaintiff is  
13 informed and believes, and thereupon alleges, that each of the Defendants designated herein as a  
14 "Doe" is legally responsible for the events and happenings hereinafter referred to, and  
15 proximately caused or contributed to the injuries and damages as hereinafter described. Plaintiff  
16 will seek leave of the Court to amend this complaint, in order to show the true and names and  
17 capacities of such parties, when each has been ascertained.

18          5.       At all times herein mentioned, some of the Defendants were the agent, partner,  
19 joint venture, and/or employee of the remaining Defendants, and were acting within the course  
20 and scope of such agency, partnership, joint venture, and/or employment. Furthermore, in  
21 engaging in the conduct described below, the Defendants were all acting with the knowledge,  
22 consent, approval, and/or ratification of their co-Defendants. Once these defendants are  
23 identified, the Complaint will be amended accordingly.

24          6.       Defendants and each of them, were at all relevant times, "care custodians" of Ms.  
25 Pennington as defined in §15610.170 of the Welfare & Institutions Code.  
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**FIRST CAUSE OF ACTION**

**(NEGLIGENCE Against Defendants KAISER PERMANENTE- SANTA CLARA,  
KAISER FOUNDATION HEALTH PLAN INC., and DOES 1 through 50, Inclusive)**

7. Plaintiff re-alleges and incorporates by reference the allegations in paragraphs 1 through 6 above as though fully set forth herein.

8. On or about April 10, 2012, Ms. Pennington was admitted to KAISER as an 80-year old elder with diabetes and gangrene to her left foot and to her right big toe who had recently suffered a stroke (causing the right side of her face to droop). As a result of these conditions, Ms. Pennington had weakness to all her extremities and was unable to ambulate. Additionally, on April 18, 2012, Ms. Pennington became non-verbal and was unable to make her needs and thoughts known. All of the above conditions rendered her totally dependent on staff employees from KAISER for all Activities of Daily Living ("ADLs") including bathing, grooming, hygiene, turning and repositioning, pressure relief, nutrition, hydration, care planning and wound care.

9. At all times material KAISER's staff were under a duty to use the care and skill ordinarily exercised in like cases by reputable members of the medical profession practicing under the similar circumstances in providing standard medical care and standard custodial care to Ms. Pennington. Furthermore, at all times material, Defendant KAISER's staff were under a duty to use such skill, prudence, and diligence as other similar individuals and institutions commonly possess and exercise in the assessment, care and treatment of residents.

10. While Ms. Pennington was under KAISER's staff's primary care, KAISER's staff and DOES 1 through 50 failed to exercise such skill, prudence and diligence in the assessment, care, and treatment of Ms. Pennington. As a direct and proximate result of said conduct, Ms. Pennington endured unnecessary and avoidable pain and suffering from the injuries described below.

11. When Ms. Pennington was admitted to KAISER on April 10, 2012, she did not have any decubitus or pressure ulcers. However, while Ms. Pennington was a patient at KAISER

1 from April 10, 2012 to April 21, 2012, KAISER's staff failed to provide her assistance with all  
2 activities of daily living, including, but not limited to, pressure relief, wound care and daily  
3 hygiene. As a result, Ms. Pennington developed a Stage II sacral decubitus ulcer measuring 2.8  
4 x 2.0 cm during her short 11-day admission to KAISER. Decubitus ulcers are wholly avoidable  
5 and develop as a result of the patient being subjected to unrelieved pressure for prolonged  
6 periods of time. Patients, like Ms. Pennington, who are completely reliant on staff for assistance  
7 with ADLs develop decubitus ulcers when staff fail to turn and reposition a patient, fail to  
8 provide pressure relief, fail to provide wound care and fail to provide daily hygiene.

9 12. On or about April 21, 2010, Ms. Pennington was discharged from KAISER to  
10 V.H.R.C. Although Ms. Pennington was discharged with a Stage II sacral decubitus ulcer  
11 measuring 2.8 x 2.0 cm, KAISER's Discharge Summary and Notes failed to document the  
12 existence of any pressure sores on Ms. Pennington at the time of discharge or throughout her  
13 entire admission at KAISER. Nonetheless, upon her admission to V.H.R.C. on April 21, 2010,  
14 staff documented that Ms. Pennington had a 2.8 x 2.0 cm Stage II sacral decubitus ulcer. As Ms.  
15 Pennington did not have any decubitus or pressure ulcers upon her admission to KAISER, it is  
16 clear that she developed this Stage II decubitus ulcer while she was under KAISER's staff's  
17 primary care. Ms. Pennington developed this Stage II decubitus on her sacrum which was not  
18 treated nor documented at KAISER and eventually developed into a serious Stage IV decubitus  
19 ulcer measuring 9.0 x 10.0 cm that required painful surgical debridement.

20 13. As a result of KAISER's staff's inability to provide adequate custodial care to Ms.  
21 Pennington as described herein, she was not turned and repositioned regularly, received  
22 inadequate pressure relief, received inadequate skin assessments, and did not receive adequate  
23 daily hygiene, nutrition, hydration or wound care.

24 14. As a proximate result of KAISER's staff's repeated failures to provide adequate  
25 care, Pennington continues to suffer from the decubitus ulcer she developed at KAISER which  
26 contributed to her pain and suffering. All of the above noted injuries developed while Ms.  
27 Pennington was a resident at KAISER, highly contributed to her pain and suffering, and  
28 increased permanently Ms. Pennington's morbidity.

1           15. At all times material, Defendant KAISER, through its agents and employees, was  
2 under a duty to use such skill, prudence, and diligence as others similar institutions commonly  
3 possess and exercise in the assessment, care and treatment of patients.

4           16. Between the above dates, KAISER, through its agents and employees, and DOES  
5 1 through 50 failed to exercise such skill, prudence and diligence in the assessment, care, and  
6 treatment of Mrs. Pennington. As a direct and proximate result of said KAISER's staffs acts and  
7 omissions, Mrs. Pennington suffered from the development of a Stage II sacral decubitus ulcer  
8 measuring 2.8 x 2.0 cm.

9           17. As a further direct and proximate result of said KAISER's staff's acts and  
10 omissions, Ms. Pennington incurred economic damages in a sum to be determined according to  
11 proof, and Ms. Pennington's physical condition continued to decline to such a degree that today,  
12 she remains in constant pain.

13           18. Defendant KAISER's staff's acts and omissions in failing to provide care  
14 planning, turning and repositioning, wound care, pressure relief and daily hygiene and failure to  
15 hire adequately trained professionals to provide necessary services and goods to Ms. Pennington  
16 fell far below the applicable standard of care for the community. Furthermore, KAISER's staff's  
17 failed to adequately document and treat Ms. Pennington's injuries described above by allowing  
18 her to develop avoidable skin breakdowns.

19           19. The name of the physicians employed by, partnered with, or agents of KAISER  
20 and/or DOES 6 through 15 are presently unknown to Plaintiff, who therefore designates these  
21 physicians as DOES 16 through 20. Plaintiff is informed and thereupon alleges that DOES 16  
22 through 20 were negligent in their care and treatment of Ms. Pennington, including, but not  
23 limited to, their failure to provide and/or order timely and necessary medical treatment, failure to  
24 provide timely consultation/direction of care as to Ms. Pennington, failure to timely review Ms.  
25 Pennington's medical records, failure to timely ensure that Ms. Pennington was being  
26 administered the proper and adequate medications, and failure to properly and competently  
27 oversee Mrs. Pennington's medical treatment. DOES 16 through 20's negligent acts and  
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omissions fell below the applicable standard of care and proximately caused or contributed to the injuries and damages as described above.

## **SECOND CAUSE OF ACTION**

### **(NEGLIGENCE Against Defendants VALLEY HOUSE REHABILITATION CENTER and DOES 51 through 98, Inclusive)**

20. Plaintiff re-alleges and incorporates by reference the allegations in paragraphs 1 through 19 above as though fully set forth herein.

21. On or about April 21, 2012, Ms. Pennington was admitted to V.H.R.C. as an 80-year old elder with diabetes and gangrene to her left foot and to her right big toe who had recently suffered a stroke (causing the right side of her face to droop). As a result of these conditions, Ms. Pennington had weakness to all her extremities and was unable to ambulate. Additionally, on April 18, 2012, Ms. Pennington became non-verbal and was unable to make her needs and thoughts known. All of the above conditions rendered her totally dependent on staff employees from V.H.R.C. for all Activities of Daily Living ("ADLs") including bathing, grooming, hygiene, turning and repositioning, pressure relief, nutrition, hydration, care planning and wound care.

22. At all times material V.H.R.C., through its agents and employees, was under a duty to use the care and skill ordinarily exercised in like cases by reputable members of the profession practicing under the similar circumstances in providing standard medical care and standard custodial care to Ms. Pennington. Furthermore, at all times material, Defendant V.H.R.C. was under a duty to use such skill, prudence, and diligence as other similar individuals and institutions commonly possess and exercise in the assessment, care and treatment of residents.

23. While Ms. Pennington was under V.H.R.C.'s staff's primary care, V.H.R.C. and DOES 51 through 98 failed to exercise such skill, prudence and diligence in the assessment, care, and treatment of Ms. Pennington. As a direct and proximate result of said conduct, Ms.

1 Pennington endured unnecessary and avoidable pain and suffering from the injuries described  
2 below.

3 24. When Ms. Pennington was admitted to V.H.R.C. from KAISER on April 21,  
4 2012, she had a Stage II sacral decubitus ulcer measuring 2.8 x 2.0 cm. Although Ms.  
5 Pennington was only a resident at V.H.R.C. for 16 days, her sacral decubitus ulcer significantly  
6 deteriorated in both size and stage during her short residency at the facility. By April 30, 2012,  
7 Ms. Pennington's 2.8 x 2.0 cm Stage II sacral decubitus ulcer had deteriorated in size to 4.2 x 4.0  
8 cm and, by May 7, 2012, her ulcer deteriorate in both size and stage to an unstageable decubitus  
9 ulcer measuring a horrific 9.0 x 10.0 x UTD cm with odor and exudates. In addition to the  
10 deterioration of her sacral decubitus ulcer, Ms. Pennington's right big toe also developed  
11 necrotic tissue by May 7, 2012. The deterioration of decubitus ulcers is avoidable if a resident is  
12 provided with adequate and timely pressure relief, wound care, daily hygiene and nutrition and  
13 hydration. V.H.R.C.'s staff knew that Ms. Pennington's underlying conditions made her  
14 completely reliant for assistance with all activities of daily living; however, despite this  
15 knowledge, V.H.R.C.'s staff failed to provide Ms. Pennington with assistance with all activities  
16 of daily living, left her lying in the same position for prolonged periods of time, failed to provide  
17 her with pressure relief and wound care, failed to provide her with daily hygiene and failed to  
18 failed to place her on a specialty or low air loss mattress. As a result of V.H.R.C.'s staff's  
19 neglectful acts and omissions, Ms. Pennington's sacral decubitus ulcer became necrotic,  
20 deteriorated in stage and more than trebled in size and her right big toe developed necrotic tissue  
21 and she had to undergo painful surgical debridement of her sacral decubitus ulcer when she was  
22 readmitted to KAISER on May 7, 2012.

23 25. In addition to the deterioration of Ms. Pennington's skin integrity, Ms. Pennington  
24 also became severely malnourished and dehydrated while under V.H.R.C.'s staff's primary care,  
25 causing her to loose an unhealthy amount of weight and become anemic. When Ms. Pennington  
26 was admitted to V.H.R.C., V.H.R.C.'s staff knew that her reduced ability to feed herself, her  
27 swallowing problem and her cognitive decline, as well as having just suffered a stroke, made her  
28 completely reliant on staff for assistance with eating, daily nutrition and daily hydration.

1 However, despite this knowledge, V.H.R.C.'s staff failed to provide Ms. Pennington with  
2 assistance with eating, failed to provide her with the necessary nutrition and failed to provide her  
3 with the necessary hydration. As a direct result of these acts and omissions, Ms. Pennington,  
4 who at 5'2" weighed a mere 131.1 lbs upon her admission to V.H.R.C., lost 6.6 lbs in just 9 days  
5 shortly after her admission to V.H.R.C. On May 7, 2012, when Ms. Pennington was rushed from  
6 V.H.R.C. to KAISER's emergency room, she was diagnosed with anemia, a direct result of  
7 having become malnourished and dehydrated and having lost a significant and unhealthy amount  
8 of weight while under V.H.R.C.'s primary care.

9 26. Additionally, Ms. Pennington developed sepsis while under V.H.R.C.'s primary  
10 care. When Ms. Pennington was admitted to V.H.R.C. on April 21, 2012, she was free from any  
11 infections. However, when Ms. Pennington was readmitted to KAISER from V.H.R.C. on May  
12 7, 2012, lab collected upon her admission confirmed that she had contracted sepsis while she was  
13 under V.H.R.C.'s primary care. This nosocomial infection was not only avoidable had V.H.R.C.  
14 staff provided Ms. Pennington with daily hygiene, kept her clean and dry, and followed basic  
15 infection control policies and procedures but the infection placed additional stress to Ms.  
16 Pennington's fragile health and wellbeing. In addition to sepsis, Ms. Pennington also developed  
17 pneumonia while under V.H.R.C.'s primary care. Both the development of sepsis and  
18 pneumonia caused Ms. Pennington to be rushed to KAISER on May 7, 2012 with a diagnosis of  
19 leucocytosis, a result of infection.

20 27. As a result of V.H.R.C.'s staff's inability to provide adequate custodial care to  
21 Ms. Pennington as described herein, she was not turned and repositioned regularly, received  
22 inadequate pressure relief, received inadequate skin assessments, did not receive adequate daily  
23 hygiene, nutrition and hydration or wound care.

24 28. As a further proximate result of V.H.R.C.'s staff's repeated failures to provide  
25 adequate care, Ms. Pennington continues to suffer from the development of a sacral decubitus  
26 ulcer which significantly deteriorated while she was under V.H.R.C.'s primary care and which  
27 significantly contributed to her pain and suffering. All of the above noted injuries developed  
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1 while Ms. Pennington was a resident at V.H.R.C., highly contributed to her pain and suffering  
2 and permanently increased Ms. Pennington's morbidity.

3 29. At all times material, Defendant V.H.R.C.'s staff's was under a duty to use such  
4 skill, prudence, and diligence as other employees of similar institutions commonly possess and  
5 exercise in the assessment, care and treatment of patients. Between the above dates, V.H.R.C.'s  
6 staff's and DOES 51 through 98 failed to exercise such skill, prudence and diligence in the  
7 assessment, care, and treatment of Mrs. Pennington. As a direct and proximate result of said acts  
8 and omissions, Mrs. Pennington suffered from the deterioration of her sacral decubitus ulcer  
9 from a Stage II ulcer measuring 2.8 x 2.0 cm to a necrotic unstageable ulcer measuring 9.0 x 10.0  
10 x UTD cm with odor and exudates, development of necrotic tissue to her right big toe, the  
11 contraction of sepsis and pneumonia, and the development of malnutrition and dehydration,  
12 resulting in unhealthy weight loss.

13 30. As a further direct and proximate result of said V.H.R.C.'s staff's acts and  
14 omissions, Ms. Pennington incurred economic damages in a sum to be determined according to  
15 proof, and Ms. Pennington's physical condition continued to decline to such a degree that today,  
16 she remains in constant pain.

17 31. Defendant V.H.R.C.'s staff's acts and omissions in failing to provide care  
18 planning, turning and repositioning, wound care, pressure relief and daily hygiene and failure to  
19 hire adequately trained professionals to provide necessary services and goods to Ms. Pennington  
20 fell far below the applicable standard of care for the community. Furthermore, V.H.R.C.'s  
21 staff's failed to adequately document and treat Ms. Pennington's injuries described above by  
22 allowing her to develop avoidable skin breakdowns, develop pneumonia and sepsis and become  
23 severely malnourished and dehydrated.

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1 **THIRD CAUSE OF ACTION**

2 **(Elder and Dependent Adult Abuse, Welfare & Institutions Code sections 15600 et**  
3 **seq., Against Defendants VALLEY HOUSE REHABILITATION CENTER and DOES 51**  
4 **through 98, Inclusive)**

5 32. Plaintiffs re-allege and incorporate by reference the allegations in paragraphs 1  
6 through 31 above as though fully set forth herein.

7 33. At all times material, Ms. Pennington was an elder and Defendant V.H.R.C. was a  
8 "care custodian" as defined by the Welfare & Institutions Code.

9 34. At all times material, in owning, operating, managing, and/or supervising an  
10 acute care facility, Defendant V.H.R.C. were required to provide appropriate health care, room  
11 and board, 24-hour supervision, and personal care and assistance to its patients. The care and  
12 supervision required of said Defendants includes, but is not limited to: assistance with personal  
13 care and hygienic health needs, as more specifically described in Health & Safety Code  
14 §1569.20(d); assistance with instrumental activities of daily life, as defined in Health & Safety  
15 Code §1569.20(f); and monitoring of its patients' activities, so as to ensure its patients' health,  
16 safety and welfare.

17 35. While she was under V.H.R.C.' primary care, Ms. Pennington was a 80 year old  
18 elder resident with diabetes and gangrene to her left foot and to her right big toe who had  
19 recently suffered a stroke (causing the right side of her face to droop). All of these conditions  
20 rendered Ms. Pennington completely dependent on staff from Defendant V.H.R.C. for all  
21 Activities of Daily Living ("ADLs") including bathing, grooming, hygiene, turning and  
22 repositioning, nutrition, hydration and wound care.

23 36. Although Defendant V.H.R.C.' staff knew that Ms. Pennington was a maximum  
24 assist resident who was completely dependent on staff for total assistance with all ADLs,  
25 Defendant V.H.R.C. staff, willfully and with conscious disregard to Ms. Pennington's rights and  
26 safety, abandoned and neglected Ms. Pennington by leaving her unattended for prolonged  
27 periods of time, causing her skin to avoidably breakdown and her decubitus ulcers to deteriorate,  
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1 deprived her of goods and services to avoid physical harm and mental suffering by failing to turn  
2 and reposition her and failing to provide her with pressure relief and wound care; physically  
3 abused her by depriving her of necessary food and water, causing her to become malnourished  
4 and dehydrated and to lose an unhealthy amount of weight; and deprived her of daily hygiene to  
5 avoid the contraction of critical infections. Each of these avoidable injuries occurred as a direct  
6 result of V.H.R.C.'s staff's neglectful and malicious acts and omissions towards Ms. Pennington.

7 37. As a result of V.H.R.C.'s staff's neglect and deprivation of necessary goods and  
8 services, Ms. Pennington's sacral decubitus ulcer deteriorated significantly from a Stage II ulcer  
9 measuring 2.8 x 2.0 cm to a necrotic unstageable ulcer measuring 9.0 x 10.0 x UTD cm with  
10 odor and exudates, developed of necrotic tissue to her right big toe, developed sepsis and  
11 pneumonia, and became malnourished and dehydrated, resulting in unhealthy weight loss and  
12 development of anemia.

13 38. V.H.R.C. knew that its neglectful and malicious acts and omissions would create  
14 a substantial risk of injury to Ms. Pennington, yet failed to take any action to correct or rectify  
15 those failures. V.H.R.C.'s acts of putting profits over the health and safety of its patients, its  
16 failure to provide necessary nursing staff, its failure to provide trained staff (in both number and  
17 quality) and its failure to provide daily hygiene, turning and repositioning, nourishment and  
18 hydration, wound care and pressure relief, was a significant cause in increasing Ms. Pennington's  
19 morbidity. All of the above noted injuries developed as a result of V.H.R.C. and its staff's  
20 neglectful acts and omissions and highly contributed to her pain and suffering, and permanently  
21 increased her morbidity.

22 39. All the injuries sustained by Ms. Pennington while under V.H.R.C.'s primary care  
23 were entirely preventable had V.H.R.C. provided enough sufficiently trained staff to provide Ms.  
24 Pennington with the amount of care that State and Federal regulations required. Defendant  
25 V.H.R.C. owed a duty to provide a sufficient budget and sufficient staff to meet the care needs of  
26 their residents, including Ms. Pennington. Additionally, all of the above severe injuries  
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1 exacerbated her pre-existing medical conditions, and caused her to suffer physical harm and  
2 mental suffering, all to Plaintiff's general damage in a sum to be determined.

3 40. In undertaking to care for Ms. Pennington, Defendant V.H.R.C. and DOES 6  
4 through 45 and each of them, acknowledged and promised to protect Ms. Pennington from  
5 primary health and safety risks associated with the care of dependent residents, and  
6 acknowledged, in fact, that such protection was a specific, if not the primary, purpose for  
7 admission.

8 41. It is Plaintiff's contention that at all times relevant herein, Defendant V.H.R.C.  
9 conceived of and implemented a plan to wrongfully increase its business profits, at the expense  
10 of residents such as Ms. Pennington. Integral to this plan was the custom and practice of  
11 Defendant staffing its facility with an insufficient number of care personnel, many of whom were  
12 not properly trained nor qualified to care for the dependent adults whose lives were entrusted to  
13 them. The understaffing and lack of training was designed so as to reduce labor costs and to  
14 increase profits, and resulted in the physical abuse and neglect of many residents of the facility,  
15 and most specifically, Ms. Pennington.

16 42. The acts and occurrences as alleged herein on the part of Defendant V.H.R.C.  
17 were directed by, authorized by, and/or ratified by various officers, directors, administrators and  
18 managing agents of Defendant V.H.R.C. and by others whose names are presently unknown to  
19 Plaintiffs and are therefore named herein as DOES 80 through 89.

20 43. Defendants, by and through corporate officers, directors, administrators and  
21 managing agents of Defendant V.H.R.C. and by others whose names are presently unknown to  
22 Plaintiff and therefore named herein as DOES 80 through 89, directed, authorized and/or ratified  
23 the conduct of all Defendant V.H.R.C., in that they were aware of the under-staffing at V.H.R.C.,  
24 in both number and training, the relationship between the under-staffing and the neglectful,  
25 abusive or deprived care to patients of V.H.R.C., including Ms. Pennington. Furthermore,  
26 Defendant V.H.R.C. by and through its corporate officers and directors, and by other whose  
27 names are presently unknown to the Plaintiff and, therefore, named herein as DOES 80 through  
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1 89, directed, authorized and/or ratified the conduct of their co-defendants in that they were aware  
2 that such under-staffing and deficiencies would lead to injury to residents of the facility,  
3 including Ms. Pennington, and the insufficiency of financial budgets to lawfully operate  
4 V.H.R.C..

5 44. Based on information and belief, Defendant V.H.R.C. acted or failed to act by and  
6 through its administrator, who is/was a managing agent pursuant to Health and Safety Code  
7 Section 1569, et seq., and other managing personnel, including but not limited to the Director of  
8 Nursing, the 'Regional Vice President of Operations,' the 'Regional Clinical Nurses,' and the  
9 'Regional Care Plan Specialists,' and other individuals currently not known to the Plaintiffs, who  
10 oversaw the day-to-day operations of V.H.R.C..

11 45. During Ms. Pennington's residency at V.H.R.C., V.H.R.C. additionally had duties  
12 under federal and state laws, which were designated for the benefit of dependent residents, such  
13 as Ms. Pennington, to provide for and to protect patients' health and welfare. V.H.R.C. also had  
14 a common law duty to provide for Ms. Pennington's health and welfare. Without limiting the  
15 generality of the foregoing, said Defendants had a duty with respect to Ms. Pennington health  
16 and welfare to:

- 17 a. Accurately monitor and provide for Ms. Pennington's health, comfort, and safety;
- 18 b. Carry out physician's orders with respect to prescribed medication;
- 19 b. Attend to and maintain Ms. Pennington's personal hygiene;
- 20 c. Ensure that Ms. Pennington received appropriate nutrition, liquids, therapy,  
21 medications and acceptable supplements, to maintain and improve her  
22 health; and
- 23 d. Maintain trained, qualified, and licensed nursing and other staffing at levels  
24 adequate to meet Ms. Pennington's needs.

25 46. Moreover, Defendant V.H.R.C. had a duty, under applicable federal and state laws,  
26 to provide for and to protect Ms. Pennington's health and welfare. Said Defendant also had a  
27 common law duty to provide for the health and welfare of Ms. Pennington. Without limiting the  
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1 generality of the foregoing, said Defendant had the specific duties itemized in the incorporated  
2 paragraphs herein above and including, but not limited to the following:

- 3 a. The facility must provide each resident with sufficient fluid intake to  
4 maintain proper nutrition, hydration and health.
- 5 b. Care plans shall address the comprehensive needs of the resident. Care  
6 plans shall be followed. Care plans shall be revised quarterly as resident  
7 needs dictate and shall take into consideration the fact that medications  
8 must be administered and timely given.
- 9 c. Facility staff shall be knowledgeable in caring for the aged, frail and  
10 disabled. Staff shall demonstrate competency in skills and techniques  
11 necessary to care for the residents' needs, as identified through the  
12 residents' assessments, and described in the plan of care.

13 47. During Ms. Pennington's residency at V.H.R.C., V.H.R.C. engaged in a continuing  
14 pattern of tortious misconduct, which resulted in multiple breaches of the above-noted duties  
15 owed to patients such as Ms. Pennington. This continuing pattern of tortious misconduct  
16 included, but was not limited to, V.H.R.C. and its staff's failure in providing Ms. Pennington  
17 with necessary goods and services, such as turning and repositioning, pressure relief and wound  
18 care; failure in ensuring that Ms. Pennington remained hydrated and nourished; failure in leaving  
19 Ms. Pennington unattended for extended periods of time and allowing her to lie in the same  
20 position for prolonged periods of time; and failure to provide Ms. Pennington with daily hygiene.  
21 These continuing breaches of performing these duties were intentional and/or in conscious  
22 disregard of the probability that severe harm would result to Ms. Pennington, particularly as  
23 V.H.R.C. staff were aware that Ms. Pennington was a maximum assist resident. As a  
24 consequence of the course of conduct described above, Ms. Pennington developed horrific  
25 decubitus ulcers, developed critical infections including sepsis and pneumonia, developed  
26 significant malnutrition and dehydration, resulting in unhealthy weight loss and anemia.  
27  
28

1           48. The above-described conduct of said Defendants, and each of them, constitutes  
2 multiple violations of Penal Code §368(a)-(b), and further amounts to a continuing pattern of  
3 dependent abuse.

4           49. The personnel at V.H.R.C. intentionally acted to cover up the existence and cause  
5 of the injuries and conditions described in the proceeding subparagraphs, by failing or refusing to  
6 investigate and document such patient care issues, and by failing or refusing to notify Ms.  
7 Pennington's daughter, Teresa Pennington, who held her power of attorney.

8           50. At all times herein mentioned, as more specifically delineated above, Defendant  
9 V.H.R.C. knew of the need to comply with the laws applicable to the ownership, operation,  
10 management, and/or supervision of care facilities, and further knew that non-compliance with  
11 such laws would put the health and welfare of the residents unreasonably at risk. V.H.R.C. staff  
12 knew that the continual failure or refusal to discharge their duties to Ms. Pennington would likely  
13 result in her injury and harm.

14           51. The conduct of V.H.R.C. staff, as alleged above, constitute "physical abuse,"  
15 "neglect," and "deprivation of necessary goods and services" as those terms are defined in  
16 Welfare & Institutions Code §§15610.63 and 15610.57, in that Defendant V.H.R.C. failed to  
17 exercise the degree of care that a reasonable person having the custody of Ms. Pennington would  
18 exercise.

19           52. Unknown to Plaintiffs, at the time of Ms. Pennington admission, V.H.R.C. was  
20 engaged in implementing a plan of under-staffing. Defendants additionally compounded the  
21 harm and damage resulting from their "under-staffing" plan through the following misconduct:

- 22           a. By intentionally, willfully, and/or recklessly staffing the facilities with  
23 employees who were known, or should have been known, to be unqualified  
24 or unfit to perform many of the tasks required of them, including tasks  
25 associated with the care of and nursing of the dependent and/or infirm residents;  
26 and  
27           b. By designing, developing, implementing, and enforcing staffing policies  
28

1 and procedures which were known, or should have been known, to likely result in  
2 the widespread and frequent violations of federal and state laws and the chronic  
3 abuse and neglect of the resident

4 53. Defendants V.H.R.C. and DOES 51 to 98, without limitation to that to be more  
5 fully proven at time of trial, failed to properly assess Ms. Pennington's conditions in a timely  
6 manner and failed to implement appropriate care plans to respond to Ms. Pennington's emergent  
7 conditions. Defendant V.H.R.C. owed a duty to Ms. Pennington, and others similarly situated,  
8 yet failed to provide custodial services with a sufficient budget and sufficient staffing to meet the  
9 custodial needs of its residents, including Ms. Pennington, as required by law.

10 54. Defendant V.H.R.C. owed a duty to Ms. Pennington, and others similarly situated,  
11 yet failed to operate, own, manage, control and/or administer the facility in a manner that  
12 enabled it to attain or maintain the highest practicable physical, mental, and psycho-social well-  
13 being of each patient, including Ms. Pennington, as required by law.

14 55. Defendant V.H.R.C. owed a duty to Ms. Pennington, and others similarly situated,  
15 yet failed to inform Ms. Pennington's primary care physician and family when there was a  
16 significant change in Ms. Pennington's physical, mental, or psycho-social status as required by  
17 law.

18 56. Defendant V.H.R.C. was engaged in a deliberate plan to under-staff and under  
19 resource the level of custodial and/or nursing care needed. Defendant also consciously under-  
20 trained its employees in the appropriate care and treatment of dependent residents such as Ms.  
21 Pennington. Defendant additionally compounded the harm and damage resulting from their  
22 "under-staffing" plan through the following misconduct:

- 23 a. By intentionally, willfully, and/or recklessly staffing the facilities with employees  
24 who were known, or should have been known, to be unqualified or unfit to  
25 perform many of the tasks required of them, including tasks associated with the  
26 care of and nursing of the dependent and/or infirm residents.  
27  
28

1           57.     Notwithstanding their knowledge, Defendant V.H.R.C. grossly disregarded its  
2 duty to adequately staff, and properly train and sensitize its workers, in order that gross neglect of  
3 residents would neither occur nor be tolerated. In breaching its duties to residents, such as Ms.  
4 Pennington, Defendant V.H.R.C. acted intentionally and in conscious disregard of the health and  
5 safety of their residents, all according to the plan of maintaining neglectful resident care, thereby  
6 unlawfully increasing the profitability and/or achieving the goal of remaining within the  
7 budgetary constraints of Defendant's business operation to satisfy the personal and professional  
8 objectives of the Defendant.

9           58.     In doing the acts alleged herein, Defendant V.H.R.C. willfully disregarded  
10 multiple duties, regulations, administrative policies and laws which would require them to devote  
11 resources to the care and treatment of residents like Ms. Pennington, and not divert those  
12 resources solely to the attainment of profit goals.

13           59.     The conduct of Defendant V.H.R.C. was a direct consequence of the motive and  
14 plans set forth herein, and Defendant V.H.R.C. is guilty of malice, fraud, recklessness and  
15 oppression.  
16

17                               **DEMAND FOR JURY TRIAL**

18     Plaintiff demands trial by jury as to all causes of action.

19     WHEREFORE, Plaintiff prays for judgment against Defendants as follows:

20           On Plaintiff's First and Second Causes of Action for Negligence against KAISER  
21 PERMANENTE- SANTA CLARA, KAISER FOUNDATION HEALTH PLAN, INC.,  
22 VALLEY HOUSE REHABILITATION CENTER, and DOES 1 to 100:

- 23           1. For special damages according to proof;
- 24           2. For general damages according to proof;
- 25           3. For prejudgment interest as allowed by law;
- 26           4. For costs of suit; and
- 27           5. For such other and further relief as to the Court may seem just and proper.
- 28

1 On Plaintiff's Third Cause of Action for Elder Abuse against VALLEY HOUSE  
2 REHABILITATION CENTER and DOES 51 to 100 only:

- 3 1. For general damages according to proof;
- 4 2. For special damages according to proof;
- 5 3. For attorney's fees pursuant to Welfare & Institutions Code section 15657(a);
- 6 4. For punitive damages as to defendants who are found by clear and convincing  
7 evidence to be guilty of fraud, malice and/or conscious disregard for the safety and well-  
8 being of Ms. Pennington and others similarly situated;
- 9 5. For prejudgment interest as allowed by law;
- 10 6. For costs of suit; and
- 11 7. For such other and further relief as to the Court may seem just and proper.

12  
13 DATED: February 6, 2013

McNULTY LAW FIRM

14  
15 By: 

Peter J. McNulty  
Sarvnaz Mackin  
Attorneys for Plaintiff