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**FILED**  
SUPERIOR COURT OF CALIFORNIA  
COUNTY OF RIVERSIDE

DEC 21 2012

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DEC 21 2012

8 SUPERIOR COURT OF THE STATE OF CALIFORNIA  
9 COUNTY OF RIVERSIDE

10  
11 JOSHUA TUCKER AND LISA TUCKER,

12 Petitioners,

13 vs.

14 KAISER FOUNDATION HOSPITALS, and  
15 SOUTHERN CALIFORNIA  
16 PERMANENTE MEDICAL GROUP,  
and DOES 1 through 100, inclusive,

17 Respondents.

CASE NO: **RIC 1218828**

**PETITION TO VACATE  
ARBITRATION AWARD**

Date: 11-8-2013  
Time: 830  
Dept: 12

18  
19 Petitioners hereby move this Court for an order vacating a Binding Arbitration Award  
20 issued by Harrison Sommer, Esq. of Judicate West pursuant to Code of Civil Procedure  
21 §1286.2. The Petition will be made on the grounds that Mr. Sommer violated the Kaiser  
22 Arbitration Rules in place for the underlying Arbitration and violated Code of Civil Procedure  
23 §1283.4 and §1283.5. Particularly, Petitioners will show that the Arbitrator failed to decide all  
24 issues presented to him at the Arbitration of this matter. This petition will be based on the  
25 Kaiser Arbitration Rules, the Code of Civil Procedure, Case law, Trial transcripts attached  
26 hereto, arguments of Counsel and such further evidence as may be necessary to rule on the  
27 issues raised in this petition.  
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I

ARBITRATION PROCEEDINGS

The underlying matter arose out of a series of medically negligent acts committed by Physicians and staff at the Kaiser Riverside facility. Pursuant to the terms of the Kaiser Insurance plan, of which Claimants were members, they were required to demand and participate in a Binding Arbitration as opposed to bringing suit in a Civil Court. On July 23, 24, 25, 26 and 27 and August 1<sup>st</sup> and 2<sup>nd</sup> of 2012, the matter was heard by mutually chosen Arbitrator, Harrison Sommer, Esq. pursuant to the Kaiser Rules. On September 17, 2012, Mr. Sommer issued his Arbitration Decision, a true and correct copy of which is attached hereto as Exhibit "A". A number of issues presented to the Arbitrator were not addressed or decided in the Award. Further, the Arbitration Decision fails to comply with Kaiser's own rules relating to the content and form of the Arbitration Award.

II

STATEMENT OF FACTS AND LIABILITY  
IN THE UNDERLYING MATTER

At 11:30 p.m. on May 16, 2009 William Joshua Tucker, then 46 years old, presented to the emergency department at Kaiser Riverside with severe abdominal pain. He reported that the pain had come on suddenly at about 3:00 p.m. that day while he was engaged in yard work. Dr. Can Tamkoc, emergency physician, examined the patient and took a history and physical shortly after midnight on the 17<sup>th</sup> and found that Mr. Tucker was experiencing severe, constant abdominal pain which Mr. Tucker felt had been increasing in severity since its onset. He also complained of nausea. Dr. Tamkoc appropriately ordered lab tests. He also ordered a CT without contrast to rule out Kidney stones based on the severity of the patient's pain. Dr. Tamkoc clearly noted that Mr. Tucker did not have peritoneal signs as of that point in time.

Mr. Tucker continued to experience severe pain, despite significant administration of narcotic pain medication. Upon receipt of the CT scan results and realizing that the patient was still in severe pain, Dr. Tamkoc called the surgical service for consultation around 4 a.m.. **Dr. Michael Lawrence** was on call. Dr. Lawrence asked Dr. Tamkoc to get another CT in follow

1 up.

2 That CT was accomplished at 6:30 a.m. Dr. Lawrence examined Mr. Tucker at or about  
3 7:30 a.m. and dictated his history and physical at 7:44 a.m., sixteen minutes prior to going off  
4 shift. Dr. Lawrence came to the conclusion that it was a partial small bowel obstruction and  
5 admitted the patient for "observation". He ordered an NG tube placement for decompression  
6 presuming that would relieve his pain symptoms. The NG tube placement was delayed by the  
7 Kaiser staff for almost 3 hours and then made no difference whatsoever and the patient  
8 remained very symptomatic despite significant pain medication administration. The patient  
9 was transferred up to his hospital room at about 9:30 a.m.

10 Over the next 9 hours Mr. Tucker's condition continued to deteriorate. Dr. Lawrence  
11 never once called back to check on this patient, in fact he was unreachable until 4:30 p.m.  
12 By 10 a.m., Mr. Tucker no longer was getting even short term relief from the narcotic pain  
13 medication. The nursing notes indicated that he was writhing in pain. The nurse's notes also  
14 indicated that he was agitated and restless.

15 He was requiring oxygen, his pulse and respirations and blood pressure were  
16 skyrocketing. By noon he had developed a fever, was tachycardic and hyperventilating. Dr.  
17 **Sonny Wang** was the on-call surgeon that came on duty when Dr. Lawrence left at 8:00 a.m.  
18 Dr. Lawrence had contacted Dr. Wang about this patient however, the information  
19 communicated was substandard as was the plan related to who's patient this patient really was.  
20 That constituted a poor hand-off of the patient.

21 Dr. Wang never checked on this patient between 8 a.m. and noon. At Mr. Tucker's  
22 nurse's insistence, Dr. Sonny Wang finally evaluated Mr. Tucker shortly before noon for the  
23 first time. Mr. Tucker had not been seen by a surgeon for over 4 hours at that point.

24 Dr. Wang cursorily evaluated Mr. Tucker and left orders to "hydrate him aggressively"  
25 and "observe him closely". Between 12:00 p.m. and 2:30 p.m., despite 3 separate  
26 administrations of morphine and dilaudid, Mr. Tucker remained at a 10 out of 10 pain scale.  
27 During that same time period, no less than 5 attempts were made to get Dr. Wang back up to re-  
28 evaluate Mr. Tucker. He refused.

1 Mr. Tucker's nurse, Fabian Ballasteros, was so concerned about him and the fact that he  
2 could not get Dr. Wang to respond, he called the Rapid Response Team and ICU nurse  
3 Christopher Burke responded. Christopher Burke attempted to intervene in order to get Dr.  
4 Wang to re-evaluate Mr. Tucker. Dr. Wang refused. Finally, after Christopher Burke contacted  
5 the house supervisor, Dr. Wang returned at 2:30 p.m. By that time, Dr. Wang found that the  
6 patient had developed peritoneal signs and that he needed to be taken to the operating room. Dr.  
7 Wang noted in his dictation of 2:31 p.m. that he had "attempted to contact the patient's primary  
8 surgeon Dr. Lawrence several times by pager and at his home with no success". Dr. Wang all  
9 along knew the patient needed to go to surgery, he just didn't want to do it himself. Mr. And  
10 Mrs. Tucker testified about the excruciating pain that he was in during the delay.

11 Even after Mr. Tucker was found to have peritoneal signs, (a life and death situation), it  
12 was almost 3 hours before Mr. Tucker got to surgery. Why? Because Dr. Wang still refused to  
13 take the patient to surgery on his own and waited for Dr. Lawrence's arrival. Dr. Lawrence  
14 dictated a note at 4:46 p.m. that he had come at Dr. Wang's insistence and "he will take the  
15 patient to surgery "now"".

16 At surgery a clot was found occluding the Superior Mesenteric Artery, the main blood  
17 vessel to the small intestine. It had caused an occlusion at that point because there was a  
18 stenosis in the area as a result of Mr. Tucker's radiation in his young age.

19 At surgery, Dr. Lawrence removed two segments of bowel. One of the segments was  
20 described as pale pink signifying that it was viable bowel and should not have been removed.  
21 At pathology, the Kaiser pathologist found that many portions of the longer segment of bowel  
22 that was removed had only superficial injury. The pathology performed by the Kaiser  
23 pathologist showed that much of the bowel that Dr. Lawrence removed only had superficial  
24 necrosis into the mucosa or the submucosa, indicating that the likelihood of survival was very  
25 high. Of eight representative sections of bowel that were studied, six were potentially still  
26 viable according to Dr. Salcedo, the Kaiser pathologist.

27 At surgery, Drs. Wang and Lawrence removed clearly dead bowel, but they also  
28 removed viable bowel. In fact, they removed 35 cm of bowel that all experts and the Kaiser

1 pathologist agree was likely viable.

2 Because of the delay in taking Mr. Tucker to surgery, he languished in excruciating pain  
3 for 10 hours and developed peritonitis. More and more of his bowel was dying as the hours  
4 went along.

5 Because of the extent of bowel resection necessary as a result of the delay in taking Mr.  
6 Tucker to surgery and as a result of the removal of viable bowel, he is fed Total Parenteral  
7 Nutrition (TPN) through a PICC line for 12 hours every night and will be fed in that fashion for  
8 the rest of his life. He also has a colostomy as a result of strictures that occurred following these  
9 events. Each little segment of bowel that could have been saved would have afforded Mr.  
10 Tucker a better quality of life and increased his chances of adapting and coming off of the TPN.

### 11 III

#### 12 THE ARBITRATOR'S DECISION

13 The Arbitrator was required under the Kaiser Arbitration Rules to determine all issues  
14 presented to him and make findings of fact and conclusions of law as to those issues. He was  
15 required to compose an Arbitration Award that set forth those findings of fact and conclusions  
16 of law as if Ruling on a motion For Summary Judgment in the Superior Court. (See a true and  
17 correct copy of the Kaiser Rules attached hereto as Exhibit "B", particularly page 15, paragraph  
18 38)

19 The Arbitrator fell well short of deciding all of the issues presented to him and decided  
20 only that the conduct of Dr. Lawrence at or about 7:44 in the morning complied with the  
21 standard of care. He failed to address Kaiser's 3 hour delay in placing the NG Tube between  
22 7:30 and 10:30, he failed to address the substandard hand-off from Dr. Lawrence to Dr. Wang  
23 and he failed to determine whether Dr. Wang's conduct over a 9 hour period of time met or fell  
24 below the standard of care. He makes a comment that Drs. Lawrence and Wang's conduct  
25 "was very troublesome" and "left a lot to be desired" and then made no finding regarding Dr.  
26 Wang in negligence or causation. Dr. Wang was the patient's surgeon from approximately 8:00  
27 a.m. until he was finally taken to surgery after 5 p.m. and the Arbitrator did not rule on his  
28 conduct. A significant portion of the 7 days of Arbitration was spent on Dr. Wang's conduct

1 and the causative effect of his delay, yet Dr. Wang's conduct was **never** addressed in the  
2 Decision. And lastly, the Arbitrator did not even mention the issue of whether the removal at  
3 surgery of pale pink viable bowel was below the standard of care, even though Defendant's  
4 own expert admitted that it was below the standard of care.

5 The Claimant put on four witnesses relating to the segments of bowel that could have  
6 been saved had the surgery occurred even two hours earlier. Those witnesses agreed that any  
7 additional bowel that could have been saved would have afforded the Claimant a better quality  
8 of life. That testimony was uncontroverted, yet the Arbitrator failed to even consider that or  
9 rule on it. The claimant put on a witness that testified that viable bowel had been removed at  
10 surgery. The Defendant's surgery expert, Preston Flanigan, testified that removal of viable  
11 bowel was below the standard of care, yet the Arbitrator did not even mention this issue in the  
12 award.

13 The record is replete with testimony setting forth the benefit to the Claimant with  
14 additional centimeters of bowel. There was testimony that if a mere additional 30 centimeters  
15 of bowel had been salvaged, the likelihood was that the Claimant would have adapted over the  
16 years to that and eventually been weaned from the TPN. There is no mention of the fact that  
17 there was uncontroverted testimony that 60 centimeters of pale pink viable bowel had been  
18 removed negligently.

19 Further, there was no mention of the 9 hours of excruciating pain Claimant had to  
20 endure while in the care of Dr. Wang and the fact that he developed peritonitis during that  
21 period of time. Further, during that time, his wife looked on in horror as her husband  
22 deteriorated before her eyes. Lastly, although the Arbitrator touched upon Dr. Lawrence's  
23 decree that Claimant "could be woken up for them to say goodbye as he was going to die", he  
24 failed to rule on the negligence of that act or the injury to Mrs. Tucker, who was also a  
25 claimant.

#### 26 IV

#### 27 KAISER ARBITRATION RULES

28 After filing of the Demand for Arbitration to Kaiser in this matter, Claimant's Counsel



1 was served, by the Office of the Independent Administrator, with a document entitled:

2 "Rules for Kaiser Permanente Member Arbitrations Administered by the Office of the  
3 Independent Administrator Amended as of April 1, 2011"

4 In most pertinent part to this Petition, the Rules state at page 15:

5 38. Form of Award

6 a. A majority of the arbitrators shall sign the award. The award shall specify the  
7 prevailing party, the amount and terms of the relief, if any, and the reasons for the  
8 decision. In setting forth the reasons, the award, or **any decision deciding an**  
9 **arbitration, shall provide findings of fact and conclusions of law, consistent with**  
10 **California Code of Civil Procedure Section 437c(g) or Section 632.** The reasons for  
11 the decision will not become part of the award nor be admissible in any judicial  
12 proceeding to enforce or vacate the award. The arbitrator may use the arbitration award  
13 form. The neutral arbitrator shall be responsible for preparing the written award."

14 (Exhibit "B")

15 **V**

16 **APPLICABLE CODE SECTIONS**

17 The Kaiser Rules are clear on the form which the Award should take and refer  
18 specifically to the following Sections of the California Code of Civil Procedure:

19 "Upon the denial of a motion for summary judgment, on the ground that there is a  
20 triable issue as to one or more material facts, the court shall, by written or oral order,  
21 specify one or more material facts raised by the motion as to which the court has  
22 determined there exists a triable controversy. This determination shall specifically refer  
23 to the evidence proffered in support of and in opposition to the motion which indicates  
24 that a triable controversy exists. Upon the grant of a motion for summary judgment, on  
25 the ground that there is no triable issue of material fact, the court shall, by written or  
26 oral order, specify the reasons for its determination. The order shall specifically refer to  
27 the evidence proffered in support of, and if applicable in opposition to, the motion which  
28

1 indicates that no triable issue exists. The court shall also state its reasons for any other  
2 determination. The court shall record its determination by court reporter or written  
3 order.” Code of Civil Procedure §437c(g).

4 “In superior courts, upon the trial of a question of fact by the court, written findings of  
5 fact and conclusions of law shall not be required. The court shall issue a statement of  
6 decision explaining the factual and legal basis for its decision **as to each of the**  
7 **principal controverted issues at trial** upon the request of any party appearing at the  
8 trial. The request must be made within 10 days after the court announces a tentative  
9 decision unless the trial is concluded within one calendar day or in less than eight hours  
10 over more than one day in which event the request must be made prior to the submission  
11 of the matter for decision. The request for a statement of decision shall specify those  
12 controverted issues as to which the party is requesting a statement of decision. After a  
13 party has requested the statement, any party may make proposals as to the content of the  
14 statement of decision.

15 The statement of decision shall be in writing, unless the parties appearing at trial agree  
16 otherwise; however, when the trial is concluded within one calendar day or in less than 8  
17 hours over more than one day, the statement of decision may be made orally on the  
18 record in the presence of the parties.” Code of Civil Procedure §632.

19 Petitioners bring this Petition pursuant to the following Code of Civil Procedure  
20 Sections:  
21

22 “The award shall be in writing and signed by the arbitrators concurring therein. **It shall**  
23 **include a determination of all the questions submitted to the arbitrators the decision of**  
24 **which is necessary in order to determine the controversy.**” Code of Civil Procedure §1283.4  
25 (emphasis added).

26 “Any party to an arbitration in which an award has been made may petition the court to  
27 confirm, correct or vacate the award. The petition shall name as respondents all parties to the  
28

1 arbitration and may name as respondents any other persons bound by the arbitration award.”  
2 Code of Civil Procedure §1285.

3 “(a) Subject to Section 1286.4, the court shall vacate the award if the court determines  
4 any of the following: . . .

5 (4)The arbitrators exceeded their powers and the award cannot be corrected without  
6 affecting the merits of the decision upon the controversy submitted.

7 (5)The rights of the party were substantially prejudiced by . . . **other conduct of the**  
8 **arbitrators contrary to the provisions of this title.”** Code of Civil Procedure §1286.2  
9 (a)(4), (emphasis added).  
10

## 11 VI

### 12 APPLICABLE CASE LAW

13 The case law on this issue has held over and over that an Arbitrator’s failure to decide  
14 all claims and issues presented to him at the hearing on the Arbitration “exceeds their powers”  
15 or constitutes “other conduct of the arbitrators contrary to the provisions of this title.”

16 For example, *Rodriguez v. Keller* (1980) 113 Cal.App.3d 838, 170 Cal.Rptr. 349,  
17 reached the conclusion that the party attacking the Award “must demonstrate that a particular  
18 claim was expressly raised at some time before the award and that the arbitrator failed to  
19 consider it”. That case goes on to say at pages 840 and 841 that “Code Civ.Proc., §1283.4  
20 provides that (the award) shall include a determination of **all the questions submitted to the**  
21 **arbitrators** the decision of which is necessary (841) in order to determine the controversy.”;  
22 such failure may constitute “other conduct of the arbitrators contrary to the provisions of this  
23 title” justifying vacation of the award under section 1286.2. (emphasis added)

24 *National Union Fire Insurance Company v. Superior Court* (1967) 252 Cal.App.2d 568,  
25 60 Cal.Rptr.535 and *Banks v. Milwaukee Ins. Co.* (1966) 247 Cal.App.2d 34, 55 Cal.Rptr. 139,  
26 both held that in the event that there is an affirmative showing that certain issues were  
27 submitted to the Arbitrator and he failed to consider them and rule on them, that constitutes  
28 misconduct such that the award should be vacated. “If the record actually shows that the issue

1 (of general damages) had been submitted to the Arbitrator and that he totally failed to consider  
2 it, the Court could and should have vacated the award. It is provided in §1283.4 that: The  
3 award shall ....include a determination of all the questions submitted to the Arbitrator, the  
4 decision of which is necessary in order to determine the controversy. Failure to find on all  
5 issues submitted is, thus, a statutory ground for vacating an award." (*Banks*, supra, at pages  
6 142, 143).

7 Federal Cases have followed suit in determining when it is appropriate to vacate an  
8 award which does not address all issues put before the arbitrator. For example:

9 In the case of *Western Employers Ins Co v. Jefferies & Co. Inc.* (9<sup>th</sup> Cir. 1992) 958 F. 2d  
10 258. Western challenged the award on the ground that the arbitrators failed to make findings of  
11 fact and conclusions of law as required by the agreement; Western's counsel responded that the  
12 contract required the panel to make such findings; On December 12, 1989, the arbitrators  
13 rendered an award in favor of Jefferies on all disputed issues. The panel did not include any  
14 findings of fact and conclusions of law in its award; The court below did not expressly consider  
15 Western's basic contractual right to arbitrate according to the specific terms contained in its  
16 arbitration agreement; Under these traditional principles, Western had a right to receive what it  
17 bargained for – arbitration according to the terms of its contract with Jefferies.

18 Further, in that case, the Claimant argued that the Arbitrators failed to abide by the  
19 terms of the Arbitration Agreement by virtue of their failure to provide Western with findings  
20 of fact and conclusions of law, the NASD panel clearly failed to arbitrate the dispute according  
21 to the terms of the arbitration agreement. In so doing, the panel exceeded its authority under 9  
22 U.S.C. §10(d).

## 23 VII

### 24 EXPERTS CALLED AT ARBITRATION

25 Claimant called the following experts ( as well as others) in support of his position on  
26 liability and causation:

#### 27 1. Willis Wagner, M.D., Vascular Surgeon

28 • Graduated University of Southern California/M.D.

- Board Certified in General and Vascular Surgery
- Fellowship trained at University of North Carolina in Vascular Surgery
- Chief, Division of Vascular Surgery, Cedars-Sinai Medical Center (2004-Present)
- Clinical Associate Professor of Surgery, Keck School of Medicine, (2002-Present)

**2. Leo Gordon, M.D., General Surgeon**

- Graduated Northwestern University Medical School/M.D.
- Fellowship trained at Scripps Clinic and Research Foundation in General Surgery
- Certified by the American Board of Surgery
- Associate Director of Surgical Education, Cedars-Sinai Medical Center (2001-Present)

**3. Marvin Ament, M.D., Gastroenterologist, Nationally Recognized TPN Expert**

- Graduated University of Minnesota Medical School/M.D.
- Residencies at University of Washington Hospitals and U.C.L.A.-C.H.A. Los Angeles
- Gastroenterology fellowship at University of Washington
- Board Certified in Pediatrics, Pediatric Gastroenterology and Nutrition
- Medical Director of Pediatric Gastroenterology, Specialty Medical Group Central California, Children's Hospital Central California (2011-Present)
- Professor of Pediatrics, U.C.L.A. Medical Center/Mattel Children's Hospital (1973-Present)
- Distinguished Professor of Pediatrics, U.C.L.A., Los Angeles (2004-Present)

**4. John Vallone, M.D., Pathologist with a Sub-Specialty in Gastro pathology**

- Graduated from Jefferson Medical College/M.D.
- Residencies at University of California Irvine/Pathology
- Fellowships: University of California Irvine/Gastrointestinal/Hepatic Pathology and University of California Los Angeles/NIH-Early Detection Research Network
- Board Certified in Anatomical Pathology

**5. David B. Stanton, M.D., Gastroenterologist**

- Graduated Tufts University Boston, Ma/M.D.
- Residency at University of California San Francisco/Internal Medicine
- Fellowship at University of California San Francisco/Gastroenterology

- 1 • Has Clinical Practice, Gastroenterology and Liver Disease, Orange County, Ca (1986-Present)
- 2 • Medical Director, GastroDiagnostics Endoscopy Center, Orange, Ca (1993-Present)
- 3 • Medical Director, Community Clinical Trials, Orange, Ca (1998-Present)

## 4 VIII

### 5 IDENTIFICATION OF ISSUES PRESENTED AT

#### 6 ARBITRATION AND ANALYSIS OF THE ARBITRATION AWARD

7 It is Petitioner's position that Dr. Lawrence's conduct after 0744 in the morning was  
8 never addressed nor determined by the Arbitrator to be within or below the standard of care  
9 although there was substantial testimony on those issues. Dr. Wang's conduct was not  
10 addressed or determined at all although there were three witnesses called for that specific  
11 purpose.

12 The Claimant tried the matter addressing primarily the following issues in terms of  
13 standard of care and causation:

#### 14 Issue #1:

15 Dr. Lawrence's FAILURE TO RECOGNIZE SURGICAL ABDOMEN at 0744 and take him  
16 to emergent or urgent surgery was below the standard of care.

17 **Finding of fact:** The Arbitrator determined that conduct was not below standard of care. See  
18 Exhibit "A", Arbitration Decision, page 6 beginning at line 13.

#### 19 Issue #2:

20 Dr. Lawrence's DIAGNOSIS OF SMALL BOWEL OBSTRUCTION at 0744 during his  
21 initial consultation and instituting treatment with NG Tube was below the standard of care.

22 **Finding of Fact:** The Arbitrator determined that was not below standard of care. See Exhibit  
23 "A", Arbitration Decision, page 6 beginning at line 13.

#### 24 Issue #3:

25 Dr. Lawrence's Poor hand-off, i.e. failure to clearly communicate with Wang what to be  
26 assessing in the patient and who was in charge, was below the standard of care.

27 **Finding of Fact:** None.

28 Pertinent Testimony of Claimant's expert, Dr. Leo Gordon not referred to anywhere in the

1 Arbitration Decision. Not identified as an issue, No finding of Fact.

2 Dr. Leo Gordon testified on this issue as follows at page 27 of his Trial testimony: (A true and  
3 correct copy is attached hereto as Exhibit "C")

4 **Page 27**

5 Q. There is, however, there was a hand off from  
6 Dr. Lawrence to Dr. Wang?

7 A. Yes, that's correct.

8 Q. You understand that Dr. Lawrence dictated this note

9 **Page 28**

10 about 16 minutes before he went off shift that morning?

11 A. As I understand it.

12 Q. Do you have some understanding -- let me ask you this.  
13 In order to comply with the standard of care, what should have  
14 been the quote, unquote, "hand off" from Dr. Lawrence to  
15 Dr. Wang?

16 A. Well, the hand off would be based on the same  
17 analysis of the surgical consultation that I reviewed earlier.  
18 46-year old guy with a scar on his abdomen, intense pain and  
19 all the other data. The standard of care would dictate this  
20 patient be explored with the assumption he has a closed loop  
21 bowel obstruction.

22 Q. If Dr. Lawrence was about to get off duty in  
23 16 minutes and felt this patient needed to go to surgery, he  
24 would either, one, have to take the patient to surgery himself  
25 to comply with the standard of care or engage an oncoming  
26 surgeon to take the patient to surgery?

27 A. That's my opinion. I think that's correct.

28 **Page 29**

Q: .....I want to try to carefully write  
down the quote that he said. Dr. Wang said, "My recollection  
of what Dr. Lawrence said to me was 'Dr. Wang, if you don't  
mind at some point looking in on this patient.'"  
Let's assume for the moment that that was the type  
of hand off that occurred between Dr. Lawrence and Dr. Wang.

1 What are your comments on that?

2 MR. DEHAAS: I object to the question. It's assuming  
3 facts not in evidence. It's a limitation of what was discussed  
4 and what Dr. Wang actually testified to. It's extraction from  
5 some of his testimony without his full explanation of what was  
6 discussed between him and Dr. Lawrence.

7 THE ARBITRATOR: It may be an incomplete hypothetical.  
8 I'll have to judge his response on that basis. Go ahead.

9 THE WITNESS: Well, one of the big issues in medicine  
10 today are these hand offs because of work hour restrictions and  
11 people going off call and people coming on call. That seems to  
12 me, with respect, a rather leisurely and somewhat cavalier  
13 request given the facts that are present in the consultation  
14 that occurred some 16 minutes prior to that comment if that's  
15 what occurred. In other words, when you say "Would you mind  
16 please stopping by," to me it didn't convey the immediacy or  
17 the potential seriousness of the underlying surgical problem.

18 That's what I'd say to that particular phrase.

19 **Issue #4:**

20 Almost 3 hours passing before placement of the NG Tube was below the standard of care as  
21 admitted by Dr. Lawrence in his testimony.

22 **Finding of Fact:** None

23 Not identified as an issue, No finding of Fact.

24 One of the defendants, Dr. Lawrence testified as follows: ( a true and correct copy of the  
25 testimony of Michael Lawrence, M.D. is attached hereto as Exhibit "D")

26 Q. You did, in fact, order an NG tube decompression?

27 A. I'd have to go back in my notes. I have every  
28 reason to believe I did.

Q. You anticipated the NG tube would be placed in  
what kind of a time frame?

A. As soon as someone can carry out the orders.

Q. You've been working for the Kaiser system for a  
very long time. Been at the Kaiser Riverside center for a  
long time and had been in May of 2009 when you placed an  
order for an NG tube placement for a patient who you  
believe has a partial small bowel obstruction. How long  
does it take to get the NG tube placed?

A. It can vary. That's the real answer. There have  
been times that I'm down, and there is a nurse who is with



1 me at the time down there, and I've seen them place them  
2 before they even go up to the floor. I know at times that  
it doesn't get taken off until they get to the floor and  
put in when they hit the floor.

3 Q. When you've made the order for the NG tube  
4 placement for Mr. Tucker, when did you anticipate the NG  
Tube would be placed? In what time frame?

5 A. I have no independent recollection. If I was  
to -- and I hate to assume. If I'm not talking to an RN  
6 down in the emergency room at that time, my anticipation  
would be it would be done when he hits the floor.

7 Q. Doctor, if I told you that the NG tube was not  
placed for two hours after you ordered it, would you be  
concerned about that?

8 A. Yeah, I would.

9 Q. If I told you it was not placed for an hour after  
you ordered it, would you be concerned about that?

10 A. I'd be disappointed.

11 Q. If I told you it wasn't inserted for more than  
three hours after you order it, would you be concerned  
about it?

12 A. I'd be ticked.

13 Q. Did anybody tell you it wasn't until 10:35 that  
the NG tube was placed?

14 A. No one told me.

15 **Issue #5:**

16 Dr. Wang's Failure to recognize pain out of proportion to a partial small bowel obstruction was  
below the standard of care.

17 **Finding of Fact:**

18 Identified as an issue by Arbitrator, but failed to make a finding of fact. See Exhibit "B"

19 Arbitrator's Decision, page 6 beginning at line 18. Dr. Leo Gordon, Claimant's General Surgery

20 Expert testified as follows, Trial testimony (Exhibit "C"), beginning at page 31 line 16:

21 **Page 31**

22 Q. Let's talk about whether this patient continued to  
23 exhibit symptoms consistent with a surgical emergency. If you  
24 look at 20-001, Doctor, that is an internal medicine note of  
Dr. Nicholas Nguyen at 9:31 in the morning. If we flip over to  
25 20-002, second page of his note under physical examination, he  
26 finds the patient to be in acute distress due to pain.

27 Again, what we were talking about earlier in your  
28 testimony about the patient's presentation not being consistent  
with a partial small bowel obstruction, what information does

1 this comment lend us?

2  
3 **Page 32**

4 A. Well, most patients with a run of the mill incomplete  
5 bowel obstruction due to adhesions usually get better with a  
6 nasogastric tube and intravenous fluids. So when you read a  
7 note later on in the day, after the patient's admitted, that  
8 the general view of the patient is a patient in acute distress  
9 due to pain, it plays into the previous mention I made of the  
10 severe and continuous nature of a closed loop obstruction or  
11 some obstruction like that.

12 Q. You understand, and we've had testimony in this  
13 arbitration, that Chris Burke, the rapid response team  
14 nurse, he testified earlier this week and you read his  
15 deposition?

16 A. Yes.

17 Q. And Chris Burke was called to the patient's bedside  
18 why?

19 A. I think there was concern on behalf of the nursing  
20 staff about this patient and that set in motion some type of  
21 rapid response team or some other mechanism within the  
22 facility to which he responded.

23 Q. The particular complaint to which Chris Burke  
24 responded was that the patient was in pain and not responding  
25 to pain medication?

26 A. As I understand it, yes.

27 Q. If a patient has a simple run of the mill partial  
28 small bowel obstruction, does the patient respond to pain

29 **Page 33**

30 medication?

31 A. Patient usually responds to pain medication if the  
32 other modalities have been used such as a nasogastric tube and  
33 intravenous fluids. That's why it's called an incomplete  
34 bowel obstruction. It's incomplete. Stuff is getting  
35 through.....

1 **Page 36**

2 Q. Let's go back to Dr. Wang's initial dictation which is  
3 20-0003. At that time -- by the way, he dictates at 12:01 --  
4 we know that the patient had been admitted, had the nasogastric  
5 tube inserted. We know that because we have its output listed  
6 by Dr. Wang.

7 At that point in time, Dr. Wang described to us  
8 that he did an examination of the patient, asked him some short  
9 questions is what Dr. Wang said, and then found in the abdomen  
10 that the patient was guarded. Do you see that under his  
11 impression?

12 A. I do.

13 Q. So Dr. Wang found at sometime before noon that the  
14 patient was guarded. We read the dictation by nurse  
15 Ballesteros of 10:35, indicating that Dr. Wang was made aware

16 **Page 37**

17 of what the patient's status was.

18 I want you to assume for the moment that

19 Dr. Wang testified that, when he came to see the patient, the  
20 patient was in discomfort. He was uncomfortable is what Dr.  
21 Wang continued to say. First of all, in terms of looking at  
22 the nursing notes and looking at the pain scales and other  
23 information that's in the medical record, did you see any other  
24 location at or about this time, noon, where the patient  
25 indicated that he was not in severe pain?

26 A. No. There are certainly notes of decreased pain  
27 after administration of the pain medicine. But there is rapid  
28 recurrence of the pain within a short time after that.

Q. And by the time the patient gets admitted and gets to  
noon, was there even any indication at all at that point that  
the patient was even getting short-term relief from the pain  
medication?

A. I don't believe so because this is a note around that  
time that now describes the guarding, and part of guarding is  
pain when you examine the patient.

Q. And part of guarding is an indication of what?

1 A. It's an indication of peritonitis.

2 Q. If a patient is examined by a surgeon and guarding is  
3 found, is that a surgical emergency?

4 A. Well, you have to put the whole thing --

5 Q. I asked my question poorly. In the context of this

6 **Page 38**

7 patient, knowing what we know about this patient's presentation  
8 and the events leading up to noon, when Dr. Wang does the  
9 examination and finds that the patient's abdomen is guarded, do  
10 you believe in order to comply with the standard of care, the  
11 patient should have been taken to surgery?

12 A. I do.

13 Q. You know that there was no arrangement or suggestion  
14 made for surgery at that point in time?

15 A. That's correct.

16 **Page 41**

17 Q. Are you of the opinion that Dr. Wang breached the  
18 standard of care at the time of his second evaluation of this  
19 patient?

20 A. That is my opinion.

21 **Issue #6:**

22 Dr. Wang's failure to respond to the patient's deteriorating condition was below the standard of  
23 care. Rapid Response Team Nurse, Chris Burke's testimony not referenced. Chris Burke's note  
24 was introduced into Evidence at the Arbitration. It is attached hereto as Exhibit "J".

25 **Finding of Fact:**

26 Alluded to at the Arbitrator's Decision (Exhibit "B") page 6 line 39, but no finding of fact  
27 determined by the Arbitrator. Chris Burke's testimony not even mentioned. Dr. Leo Gordon  
28 testified (Exhibit "C") beginning at page 38 of his Trial testimony as follows:

**Page 38**

Q. There are events that occur between this examination  
and the examination done later which is dictated at 2:31 P.M.

I want you to assume for the moment that Dr. Wang testified

1 here two days ago that he thinks he may have examined the  
2 patient as early as 1:30 maybe up until 2:00 P.M. and didn't  
3 dictate this note until 2:31. I want you to assume that's what  
he testified to. All right?

4 A. Okay.

5 Q. Looking at this note 20-05 -- let's start with 20-04.

6 Dr. Wang testified that and dictated that he sent the patient  
7 for another KUB, a flat x-ray of the kidneys, ureters and  
8 bladder and found that there was dilated small bowel. No gross  
free air was seen. What does that signify, no gross free air?

9 A. It means nothing is perforated yet.

10 Q. He lists blood pressure, pulse, temperature, etc. By  
the way, if you look across the blood pressure, pulse,

11 **Page 39**

12 temperature and respirations, those are all elevated, are they  
13 not?

14 A. They are. The patient in severe pain with overt  
15 peritoneal signs. Patient has a rather rigid abdomen in the  
16 setting of a right renal mass and known right inguinal hernia.  
Under his abdominal examination, he lists that the patient has  
17 peritoneal signs, is very guarded and has a rigid abdomen.

18 Okay.

19 Under his impression and plan, indicates patient  
20 has an acute abdomen in the setting of a right renal mass,  
right inguinal hernia. Recommendation would be for exploration  
21 right now for possible closed loop small bowel obstruction  
likely due to adhesion.

22 I want you to assume that this information and  
23 these findings were made at some point in time between  
24 1:30 P.M. and 2:30 P.M. If the patient did not go to surgery  
until 4:45 that afternoon, is that below the standard of care?

25 A. It is.

26 Q. Why?

27 A. The same parameters apply throughout the day as  
28 applied with the data available at 7:30 in the morning. In  
this circumstance though, even though you don't need it, you

1 have the added immediacy of peritonitis and what was described  
2 as a rigid abdomen.

3 The evidence of peritonitis was described by the

4 **Page 40**

5 surgeon as overt. I took that to mean easily noticeable or  
6 quite clearly demonstrable. I mean, now you have an elevated  
7 temperature, an elevated respiratory rate and an elevated  
8 pulse. So the standard of care is essentially the same.

9 Q. I understand the standard of care is the same, but you  
10 just mentioned something. You now have the added immediacy of  
11 peritonitis. Tell me what you mean by that?

12 A. Well, this note is a clear cut description of a  
13 patient that has something severely inflamed or dead within  
14 his abdomen. That as much immediacy as you can quantify it  
15 there was at 7:30 is now multiplied by the fact that the  
16 patient has developed signs typically associated with advanced  
17 inflammation, death of tissue or an ongoing serious  
18 intraabdominal problem.

19 Q. If in fact the testimony in this case has been and is  
20 accurate that, even after the team was assembled, the operating  
21 room was ready, the patient was in the O.R. holding area, even  
22 after all of that was accomplished, that Dr. Wang indicated  
23 that he was still waiting for Dr. Lawrence, is that below the  
24 standard of care?

25 MR. ERICKSON: Misstates the testimony.

26 THE ARBITRATOR: It's asked in terms of a  
27 hypothetical. If you can show that is not accurate, it impacts  
28 the force of his answer, but he can answer that hypothetical.

THE WITNESS: Well, I assume that Dr. Wang had the

24 **Page 41**

25 same skills Dr. Lawrence had in terms of the ability to open up  
26 the human abdomen. Yes, under these circumstances it would  
27 certainly be below the standard of care to wait if you had the  
28 expertise to do the job.

Q. BY MS. LAW: Are you of the opinion that Dr. Wang

1 fell below the standard of care at the time of his initial  
2 examination of this patient?

3 A. I am.

4 **Issue #7:**

5 Dr. Wang's Failure to recognize the impact and significance of peritoneal signs was below the  
6 standard of care.

7 **Finding of Fact:**

8 Not identified as an issue by the Arbitrator, and failed to make a finding of fact. See Leo  
9 Gordon's testimony (Exhibit "C") beginning at page 25 line 13:

10 **Page 25**

11 Q. We also know that Dr. Wang did not schedule the  
12 patient for surgery until after Dr. Wang discovered peritoneal  
13 signs, true?

14 A. That's correct.

15 Q. You also read the deposition of Dr. Alexander, the  
16 defendant's general surgery expert in this case. What is it  
17 that Dr. Magdee Alexander said about when the appropriate time  
18 to take this patient to surgery would have been?

19 A. As I understand Dr. Magdee's testimony in his  
20 deposition, the theme of his comments was to wait for the  
21 patient to develop these peritoneal signs or peritonitis  
22 before deciding to go to surgery. I think part of the  
23 testimony was something to the effect that we were taught by

24 **Page 26**

25 his mentors to -- the term is sit on the patient which is a  
26 global term for watching and observing the patient until  
27 peritoneal signs develop. I believe that's what he testified  
28 to.

Q. We had Dr. Willis Wagner here yesterday. You know  
Dr. Wagner?

A. Yes.

Q. In fact, it was at Dr. Wagner's suggestion that you  
became involved in this case or at least look at the file?

A. Right. He wrote initially, asked if I would be

involved in this case.

Q. And Dr. Wagner testified to us yesterday that the development of peritoneal signs is consistent with evidence of irreversible bowel injury. So let me ask you this. Do you agree with that, first of all?

A. I think that's reasonable. I do agree with that.

Q. So basically the theme of Dr. Alexander's deposition and of course what we know from what happened with Dr. Lawrence and Dr. Wang was to wait until the patient developed peritonitis before taking him to surgery.

The question then is is it within the standard of care to wait until the patient shows signs of irreversible bowel injury before taking him to surgery?

A. That is below the standard of care since the whole effort to get someone to surgery is to identify and correct

**Page 27**

whatever problems are going on in the abdomen. In other words, if you have sufficient data and suspicion to proceed to surgery, you certainly don't wait for the development of peritonitis to justify taking the patient to surgery.

Q. So you've told us that you think Dr. Lawrence fell below the standard of care by failing to schedule this patient for surgery at or about 7:30 in the morning, correct?

A. Right. Or just out of fairness in calling another surgeon to do the same.

Q. And that gets me to my next point. Dr. Lawrence admitted the patient for the plan that we've just outlined that's reflected in his initial dictation. Did Dr. Lawrence follow this patient at all after that moment?

A. Not until later on in the afternoon when he was called back and the patient was taken to surgery.

**Issue #8:**

Dr. Wang's refusal to proceed to the surgery without Dr. Lawrence, which delayed the surgery for almost 3 hours was below the standard of care.

///



1 **Finding of Fact:**

2 Identified as an issue by the Arbitrator, but failed to make a finding of fact, other than, "It was  
3 very troublesome". See testimony listed in evidence for issues 5, 6, 7 and 8 above.

4 **Issue #9:**

5 Lawrence's removal of "pale pink" viable bowel at surgery was below the standard of care.

6 **Finding of Fact:**

7 Not even identified as an issue by the Arbitrator, nor a finding of fact made. One item of  
8 evidence that was admitted at the Trial was the pathology report of the Kaiser pathologist, Dr.  
9 Salcedo identifying a 60 cm portion of the bowel that was removed as "pale pink and mottled."  
10 (A true and correct copy of this report is attached hereto as Exhibit "E". Further, see testimony  
11 of **Defendant's** expert, Preston Flanigan, a true and correct copy of which is attached hereto as  
12 Exhibit "F", beginning at page 11 of his testimony.

13 Q. Because you look at the bowel and you determine  
14 based on the gross observation of the bowel whether it's  
15 dead, whether it's viable, alive or whether it's  
16 questionable; correct?

17 A. Exactly.

18 Q. And when you do that, you leave in the  
19 questionable bowel and you plan to come back and take a  
20 second look; correct?

21 A. Yes.

22 Q. How do you know what bowel to take out; how do  
23 you know what bowel is dead?

24 A. Because we have seen it so many times. And we  
25 just know based on the characteristics which you asked  
26 me about before.

27 And we talked about that it's black. It's  
28 thinned. It may be perforated. It's nonparasitic. The  
muscles are not working in it.

29 **Page 12**

30 You may see clotted arteries that are close to  
31 the bowel and in the bowel wall where you know it cannot  
32 survive that.

33 So, those are the characteristics that we look  
34 at and we make a decision based on that.

35 And to some degree it's based on, you know, my  
36 mentors telling me and their mentors telling them and on  
37 and on. And that's clinically how it's done.

38 If there's any question in your mind about  
whether something is dead or alive, you leave it in.  
That's the whole basis for the mandatory second-look  
operation.

1 Q. So, if you see pink bowel, if you see pale pink  
2 bowel, if you see mottled or patchy bowel that is pink  
3 in color, you leave it with the idea that you will come  
4 back 24 hours later?

5 A. Right.

6 Q. Because it would be below the standard of care  
7 to remove pink, pale pink or questionable viable bowel  
8 on the first operation; correct?

9 A. It would below the standard of care to remove  
10 bowel which you did not believe was frankly necrotic at  
11 that point in time.

12 Q. What would lead you to the conclusion that it  
13 was frankly necrotic is that it would be black, thinned,

14 **Page 13**

15 perforated?

16 A. It wouldn't have to be all of those things, but  
17 a combination of various characteristics.

18 Q. The earlier that the patient is taken to  
19 surgery and the bowel revascularized, the lower the  
20 mortality and the lower the morbidity?

21 A. I haven't actually seen that study, but from a  
22 logical standpoint I would have to say that that makes  
23 sense.

24 **Page 24**

25 Q. What you do is you remove the thrombus from the  
26 S.M.A. and you put the intestine back in the belly and  
27 you let it sit there; don't you?

28 A. Other than dead stuff, yes.

Q. Well, you let it sit there before you cut into  
it, if you have dead areas and questionable areas and  
viable areas, you don't start cutting around and taking  
pieces out until you've reprofused the area; do you?

A. Normally, that's true.

Q. So, you reprofuse the area, you take the clot  
out and you reprofuse the area and you stick it back in  
the belly and you wait and look at it; correct?

A. Yes.

Q. Because with the devastating consequences of  
the removal of a significant portion of bowel, you've  
got to give the patient the benefit of the doubt; true?

A. True.

Q. And it's your opinion and it's well-established  
in the literature that you wait a period of 30 to  
40 minutes after you've removed that thrombus to see if  
you have lines of demarkation between viable,  
questionable and obviously dead bowel; true?

A. Yeah, 30 in a patient.

Q. Do you know how long they waited in this case  
before they began removing bowel?

27 **Page 25**

28 A. I don't.

Q. It would be below the stand of care not to wait

1 a period of time; true?

2 A. Not necessarily. If you looked at a certain  
3 part of the bowel and you've got a couple surgeons  
4 standing there both saying this bowel is as black as  
5 this chair here and it's dead.

6 You may as well do something during the  
7 30 minutes that you're waiting because you're going to  
8 take that bowel anyway.

9 Something that looks like that is not going to  
10 turn back to normal pink bowel. It's those marginal  
11 areas that you're waiting to see what's going to happen  
12 with.

13 Q. So, you leave the marginal areas in for  
14 30 minutes. And if they look at the end of the same of  
15 30 or 40 minutes, you would make the decision whether  
16 you're going to leave them for the second cut?

17 MR. DEHAAS: And you keep saying 30 to  
18 40 minutes. And he said around 30 minutes.

19 MS. LAW: Okay. I apologize. I'll re-ask my  
20 question.

21 BY MS. LAW:

22 Q. So then you would leave the marginal areas in  
23 the bowel for a period of, approximately, 30 minutes and

24 **Page 26**

25 watch what happened to those areas; true?

26 A. You would or you might also say we're going to  
27 do a second look tomorrow and so we'll look then. We  
28 will give it more than 30 minutes. We will give it  
29 24 hours.

30 Q. That would be the standard of care; would it  
31 not, Doctor?

32 A. It would be, either one.

33 Q. Either, given 30 minutes --

34 A. If you waited 30 minutes and nothing changed,  
35 but if it was still marginal looking, you still would  
36 not take it out.

37 Q. So, marginal means just that; marginal means  
38 that we're coming back for a second look?

39 A. We're coming back anyway.

40 **CAUSATION ISSUES:**

41 1) The Claimant was in excruciating pain for 10 hours after seeing Dr. Lawrence, yet the  
42 Arbitrator never mentioned that as a recoverable damage.

43 2) Each hour that passed leading up to the surgery caused further damage to the bowel.

44 3) Every centimeter of bowel that could have been saved would have afforded the  
45 Claimant a better quality of life.

46 4) The less TPN needed, the better off for the Claimant. The more bowel, the less TPN

1 needed.

2 5) Surgery at 2pm or 3pm or 4pm would have saved more bowel.

3 Although the Arbitrator refers to the fact that the "earlier the reperfusion, the likelihood  
4 of increased functional bowel is greater", the Arbitrator never made a finding of fact as to  
5 whether or not the conduct after 7:44 a.m. caused any injury to the Claimant. That is a fatal  
6 omission on causation.

7 The testimony on the causation issues presented at Arbitration was as follows:

8 Dr. David Stanton, Claimant's Gastroenterologist testified as follows: (A true and correct  
9 copy of his testimony is attached hereto as Exhibit "G"):

10 **Page 8**

11 Q. Okay. What are your opinions in that regard?

12 A. Well, that he's T.P.N. dependant. That's Total  
13 Parenteral Nutrition. I'm sure that that abbreviation  
has been used a lot here. And highly likely will be for  
life.

14 **Page 9**

15 That he has significant disabilities associated  
16 with the many surgeries and insults we'll say that his  
body has been through. And that he's likely to need  
extensive care medically going forward.  
17 And I should also say that his life expectancy  
is somewhat shortened. I believe that I said 10 years  
was my estimate from the expected figure of 77 or 78  
18 years of age for a Caucasian man.

19 Q. All right. Let's take those one at a time.

20 The first one is T.P.N. dependent and highly  
likely will be for life; on what do you base that  
opinion?

21 A. On the basis that he, during the surgeries that  
he underwent, and especially the first two surgeries  
that he had in '09, he lost the majority of his small  
22 intestine.

23 It was resected and that he's left with  
something like 50 centimeters of small bowel. He was on  
Total Parenteral Nutrition from a period shortly after  
24 the surgeries until the present time.

25 He has had two attempts of weaning from the  
T.P.N. which both resulted in profound weight loss and  
26 weakness and those were both abandoned.

27 **Page 36**

28 Q. All right. In terms of your experience of  
caring for patients that have short gut syndrome or are  
T.P.N. dependent, do you have an opinion with respect to

1 **Page 37**

2 if Mr. Tucker had an additional 30 centimeters of bowel,  
3 what effect that would have on his T.P.N. dependence?

4 A. Potentially, it could limit it or even reverse  
5 it.

6 MR. ERICKSON: You know what, I'm going to  
7 object that it's accumulative. We've heard from the  
8 doctor on this extensively.

9 THE COURT: Overruled.

10 BY MS. LAW:

11 Q. And in terms of if Mr. Tucker had an additional  
12 60 centimeters of bowel, what in your opinion would that  
13 effect be on his need for T.P.N.?

14 A. If it was properly functioning, he would more  
15 likely than not be T.P.N. free.

16  
17 Dr. Marvin Ament who is widely regarded as one of the World's experts on TPN testified  
18 as follows: (A true and correct copy of which is attached hereto as Exhibit "H")

19 **Page 14**

20 Q. Just do it as briefly as you can so that we can  
21 explain what the difference should be if you had more or  
22 less bowel.

23 A. Well, your intestine looks like a carpet. If you  
24 would look at it microscopically, it truly – it looks like  
25 a carpet. These are your absorptive fingers. They're  
26 called villi, absorptive villi. And again, the – just to  
27 make you aware, you need about – if you're a normal  
28 person, 50 percent of your intestinal is what you need to  
really have normal digestion and absorption. The body has  
a lot of reserve. The body has a lot of reserve. So  
that's why you can lose 50 percent and not get into  
trouble. Okay.

Let's say you lose more than 50 percent. The –  
what's left has got to try to do the job of the part that  
you've resected. What happens is these absorptive villi  
grow. That's what happens when you get a resection. The  
bowel that's left – the villi try – they grow to try to  
make up for what's been resected. That's what adaptation

29 **Page 15**

30 really is. So the part of the intestine that's left grows  
31 so it can improve – absorb better. But again, it can only  
32 do so much. And so that's – and then right now – I mean,  
33 I don't have the earliest figures. I just know where he is  
34 right right now. That he's obviously absorbing a third of  
35 what he needs from eating and drinking.

36 Q. Is there, Doctor, a quote unquote magic number in  
37 terms of the number of centimeters of bowel that an adult  
38 needs to generally not be TPN dependent?

A. Well, it's really quite variable. I mean, I can  
tell you that you're going to see various things. If you  
have – if you have more than, you know, I would say, 38 to

1 50 centimeters of the small intestine – okay. This is the  
2 small intestine – and you have an ileocecal valve – and  
3 I'll talk about all of these things in a minute – plus the  
colon – okay. I'm going to give you another little bit of  
a lesson.

In an adult – in a typical –

4 MR. ERICKSON: Hold on. If the lesson is outside of  
the call of the question, I'm going to object.

5 JUSTICE SOMMER: I think that we can break it up a  
little bit. So let her lead you through it.

6 Q. BY MS. LAW: The question is, is there a magic  
7 number that you can look at or that's in the literature  
that tells us when a patient will be TPN independent?

8 **Page 16**

9 A. Yes. If you have 38 to 50 centimeters of small  
10 intestine and you've got an ileocecal valve and you have  
the whole colon functioning, this is an adult who should be  
11 able to come off of TPN and become independent, either by  
eating and drinking or by infusing, feeding through a  
12 gastrostomy tube. But this will – in the year 2012, a  
patient who has all of these has probably a 90 percent  
chance of fully adapting.

13 Q. Does Mr. Tucker have that much small intestine?

14 A. You know, he may have 24 – I've seen various  
15 numbers in there. I saw 24 centimeters of small intestine,  
plus the ileocecal valve. He does not have the whole  
colon. He is using only half of his colon. He has a  
transverse colostomy.

16 **Page 19**

17 Q. In terms of what you know about him, about how  
18 much colon he has left, about how much small intestine he  
has left, about how he's been able to adapt at least to a  
19 third of his calories by PO intake, do you have an opinion  
as to what would be the likelihood of his TPN independence  
or dependence if he had an additional 30 centimeters of  
20 bowel that had been saved?

21 A. I think it would be substantially better.

22 Claimant's Vascular surgery Expert, Willis Wagner, testified beginning at page 31 of his

23 Trial testimony as follows: (A true and correct copy is attached hereto as Exhibit "I")

24 Q. Okay. So let's work backwards in terms of this  
case in particular.

25 We know that not the entire bowel died in this  
case. We know that?

26 A. Correct.

27 Q. Okay. And we know that the occlusion occurred at  
or very near 3:00 p.m. the day before?

28 A. Correct.

Q. Okay. So we have the onset of the occlusion at  
3:00 p.m. the day before and we have the resection at

1 approximately 6:00 p.m. the next day. So now we have  
2 27 hours; correct?

3 A. Yes.

4 Q. Okay. So we have 27 hours up until the time that  
5 the surgery occurs. And even at 27 hours there is a  
6 portion of the bowel that was salvaged; correct?

7 A. Yes.

8 **Page 32**

9 Q. Okay. There are other portions of the bowel,  
10 based on the pathologist's deposition – and you read the  
11 respondent's pathologist Dr. Fishbein's deposition; true?

12 A. Yes.

13 Q. Okay. And Dr. Fishbein opined in his deposition  
14 that a number of the representative sections that he looked  
15 at in terms of the segments of bowel that were removed,  
16 that many of them only had mucosal or submucosal  
17 involvement. You recall that testimony?

18 A. Yes.

19 Q. Okay. And so then again, so we have 27 hours  
20 between onset and resection, and we still have, on the  
21 sections, evidence of only mucosal or submucosal  
22 involvement; true?

23 A. Yes.

24 Q. Okay. And by the way, death of the bowel is death  
25 through the bowel wall into the muscularis propria; true?

26 A. Yes.

27 Q. Okay.

28 A. You can have death of the inner lining of the  
bowel and it can regenerate. It sluffs. That occurs not  
uncommonly with various shock states – trauma, heart  
disease – where the blood flow transiently is diminished.  
Blood flow to the inner lining of the bowel is compromised.  
the inner lining of the bowel sluffs and it regenerates as

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long as the remainder of the bowel stays alive. It's not  
an all or none process.

Q. So all the mark or the line on the sand of  
irreversibility is that line of the muscularis propria;  
true?

A. It's the outer layer of the bowel.

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Q. All right. And then in terms of the patient's  
presenting symptoms, do they lend us any information  
related to whether the bowel was dead before Mr. Tucker  
presented to the emergency department?

A. Well, as physicians refer to both signs and  
symptoms. Signs meaning the examination, and the symptoms  
are what the patient complains of. He was complaining of  
constant severe pain. And as I said earlier in my  
testimony, a dead organ doesn't hurt. If the bowel was  
dead and there was no segment that was still viable, he  
would have no pain. Dead bowel doesn't have pain. Just as

1 a dead leg doesn't have pain. It's anesthetic. The nerves  
2 that go to that area don't feel anything. They don't send

3 **Page 42**

4 a signal. The way the brain perceives pain is the signal  
5 comes from the nerves in the area where the insult is  
6 occurring. That's how pain is perceived. If the nerves  
7 going to the bowel are dead because the bowel is dead, the  
8 brain would not perceive pain. The brain perceives pain  
9 because the stimulus is there, the bowel is screaming out,  
10 whatever triggers the nerve fibers for pain is going on.  
11 So he was complaining. So we had — the symptom of pain is  
12 not consistent with irreversible dead bowel. And the  
13 physical findings of no peritoneal signs also indicate that  
14 it was not irreversibly dead. If he had irreversibly dead  
15 bowel on presentation, he would have peritonitis.  
16 And we have the testimony of both the emergency  
17 room physician who initially examined him, as well as  
18 Dr. Lawrence who examined him the next morning, that he did  
19 not have peritoneal signs. And it was only in the early  
20 afternoon that Dr. Wang identifies peritoneal signs. So  
21 clearly there was an evolution occurring. It did not come  
22 to completion prior to his admission.

23 **Page 43**

24 Q. In terms of your — finishing out your causation  
25 opinions in this case. Have you reached opinions and  
26 conclusions with respect to what difference, if any, a  
27 surgery earlier in the day — the discovery earlier in the  
28 day of this occlusion and thrombectomy performed earlier  
in the day, what difference that would have made for  
Mr. Tucker?

A. Yes. It's my opinion that every hour earlier this  
operation occurred would have resulted in salvage of more  
bowel. I know you as attorneys like to say, "Okay, at what  
point did this percentage of bowel" — and I think I've  
made it pretty clear we can't say that. We can't predict  
that. There are just too many variables in the human body  
to say that at this period of time this amount of bowel  
would have been saved.

What I can say very definitively is that every  
hour prior to the operation that was undertaken would have  
resulted in salvage of additional portions of the bowel.

Q. In terms of taking the testimony of the

23 **Page 44**

24 pathologists in this case who have basically dissected all  
25 of the levels of the bowel and looked at all of the slides  
26 in this case, can we correlate what portions of the bowel  
27 would more likely have been saved at what time based on the  
28 level of necrosis that's seen in the slides?

A. Well, that also is hard to say. Because even  
segments that were clearly dead, if they had been  
revascularized four hours before, may have been alive.  
Clearly there were segments on the bowel that there was not



transmural death. And those segments likely would have been salvaged. But in the segments – even the segments that had complete death, even those segments might have survived. Yes, they might have sluff the epithelium of the lining of the intestine, and it might have had – might have been ill and had required some regeneration of that lining in the intestine. But even some of the frankly necrotic bowel could have survived.

Q. So when we look at – when we listen to the pathologist testify that there were areas that only had necrosis of the villi – we heard a little bit yesterday about the villi. What are the villi?

A. Villi are the infoldings and outpouches of the lining of the intestine that snatch up the nutrients as it goes through the intestine. It increases the surface area so that you don't have just a straight pipe. If you have

#### Page 45

little inlets kind of like you have at a pier, little inlets sticking out, all that entire surface is capable of absorbing nutrients as opposed to just a straight river with two banks on it. The villi are projections that contain cells that can snatch up nutrients.

Q. And the villi are the top layer, basically, the inner most layer of the bowel; true?

A. Yes.

Q. All right. So areas on pathology that show only necrosis of the villi, those are the ones that are least injured by the ischemic event; correct?

A. Correct. And are capable – even if the villi completely die, are capable of regenerating. That surface of the bowel is very able to regenerate. There are different organs in the body that have different regenerative capacity. Some organs don't regenerate well. Some organs heal and regenerate very well. For instance, the liver, the lungs do regenerate very well. The intestinal lining does as well.

Q. Then underneath the villi is the level of the bowel that's known as the mucosa?

A. Yes.

Q. The submucosa?

A. Um-hm.

Q. And then the bowel wall?

#### Page 46

A. Yes.

Q. Okay. So is it fair to say – let me ask you, the areas that have the least invasion of necrosis or show the least necrotic tissue, are those the areas that are most likely to have survived had it been reperfused?

A. Yes.

///

///

1 **Re-Direct**

2 **Page 6**

3 Q. The fact -- there's a very detailed description of  
4 what that additional 45 centimeters looked like and why  
5 Dr. Lawrence left it in; true?

6 A. Yes.

7 Q. Okay. And the descriptor by Dr. Lawrence of what  
8 it looked like and why he left it in were what in your  
9 recollection?

10 A. Because it was patchy and it looked like it  
11 potentially was salvageable.

12 Q. What does that mean in terms of the ischemic event  
13 to that area of the bowel?

14 A It means that that portion of the bowel, which is  
15 sort of in the cusp between the SMA and the IMA  
16 circulation, it's just teetering on the edge. It's just  
17 teetering on the edge. It might die, it might not.

18 Q. So bowel that is just teetering on the edge, can  
19 you say to a reasonable degree of medical probability what  
20 the outcome for that bowel would have been had it been  
21 reperfused five hours early?

22 A. I believe more likely than not it would have  
23 survived.

24 Dr. John Vallone, Clinical Pathologist, testified on causation as follows (a true and  
25 correct copy of his testimony attached hereto as Exhibit "K"):

26 **Page 37**

27 Q. If you were to get the patient -- if the  
28 patient were to get to surgery, have the thrombosis  
removed and the area at the S.M.A. opened, and the  
distribution of the S.M.A. reperfused, two hours before  
this patient developed peritoneal signs, what is your  
opinion with respect to what portion of the bowel would  
have been salvageable based on what you see in these  
slides?

A. So, this goes to the clinical pathologic  
correlation which is in, I would say, all pathologist's  
mind is critical, is that prior to this time, prior to  
the peritonitis, two hours prior to that this bowel  
probably was not showing this degree of necrosis. So,

29 **Page 38**

30 it would have been significantly less.

31 And I would say that there is a, that there is  
32 a high degree of likelihood that this bowel would have  
33 been viable at that point.

34 **Page 42**

35 Q. So now let's go to the shorter segment of the  
36 bowel.

37 First of all, tell us how Dr. Sacedo, the  
38 Kaiser pathologist, described the shorter segment of the

1 bowel.

2 A. This is in quotes. The shorter segment of  
3 bowel is pale pink and mottled in appearance, period.  
4 It's intact, period.

5 No perforation is seen, period.

6 The ends are also stapled shut, period.

7 The external diameter of the bowel ranges from  
8 two point zero to four point zero centimeters, period.

9 Q. Okay. In terms of gross observation of a  
10 segment of bowel and calling it pale pink and mottled,  
11 what does that mean to you?

12 A. It means that there's some ischemic injury.

13 Q. Pale pink and --

14 A. Now if I can just clarify that. It's ischemic.  
15 So, it's still being perfused. That's why it's pink.  
16 It becomes pale in areas where the blood flow  
17 is decreased. It's different from dusky.

18 So, she's saying pale pink and mottled, there's  
19 variability in the pink and in the paleness. It's

20 **Page 43**

21 different than the larger segment where she used the  
22 term dusky brown.

23 Q. Dusky brown connotes to you in terms of a gross  
24 observation that it is dead bowel?

25 A. It connotes to me that there's a greater  
26 likelihood of it being dead bowel.

27 Q. On the other hand, pale pink and mottled  
28 connotes to you what?

A. A viable bowel.

**Page 46**

Q. All right. So, in terms of the four  
representative sections of the shorter segment of the  
bowel, the 60-centimeter segment of the bowel; in terms  
of looking at all four sections, and you don't have to  
take us through all four sections, but in terms of  
looking at all four sections of the shorter segment of  
the bowel, the 60 centimeters that was pale pink and  
mottled, do those four distinct sections show viable

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bowel?

A. Yes.

Q. And that's viable bowel just before it's  
resected at, approximately, 6:00 p.m.?

A. Yes.

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Q. Now, in terms of gross appearance, we've looked  
at the microscopic appearance. And we've heard what  
Dr. Sacedo called was the gross appearance, but I want  
to ask you, what difference would reperfusing this bowel  
have made, say, it was two hours before the resection or  
four or six hours before the resection, what difference

1 would that earlier reperfusion have made in gross  
2 observation of the bowel?

3 **Page 49**

4 A. It would look more pink. And, you know, in  
5 talking about the timing of events, I think that it's  
6 really quite important the development of the patient's  
7 peritonitis as a sign as to what's happening inside.

8 **Issue # 10:**

9 Did Dr. Lawrence's pronouncement of the imminent death of the Claimant, which was clearly  
10 erroneous, cause injury to Claimant, Lisa Tucker?

11 **Finding of Fact:**

12 The Arbitrator identified that conduct as "very troublesome" and "leaving much to be desired",  
13 but never made a finding of fact as to whether that was negligent or caused injury.

14 Although Lisa Tucker's testimony is not ready as of the time of the filing of this Petition,  
15 Claimant's Counsel's declaration sets forth as an offer of proof, the testimony that was elicited  
16 on this issue.

17 **IX**

18 **CONCLUSION**

19 Based on the foregoing evidence cited from the Arbitration transcripts and the  
20 Declaration of Patricia A. Law attached hereto, it is respectfully requested that the Arbitration  
21 Decision be vacated because of its failure to address and decide the majority of the issues  
22 submitted to the Arbitrator. It is further requested that the matter be remanded to the  
23 Arbitration forum for selection of a Neutral Arbitrator and the re-hearing on the matter so that all  
24 issues of negligence and causation may be decided.

25 Dated: December 21, 2012

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26 BY: 

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